

# REGISTER OF BENEFICIAL OWNERS

 Federal Ministry  
Republic of Austria  
Finance

Extended excerpt with current data pursuant to  
Article 9 para. 5 BORA

Date: 21.06.2018

## GENERAL INFORMATION

Valid remarks: No entry  
Complete excerpt: No  
Date of last report: 30.04.2018  
Exemption pursuant to Art. 6 BORA: No

## INFORMATION ABOUT THE LEGAL ENTITY

Name: Invest LLC  
 Legal form: Company with limited liability  
 ÖNACE: K66.19-0 Other Financial Services  
  
 Business Address: Example Street 1, 1010 Vienna, Austria  
 Existing period: since 15.01.2016  
  
Originating number: 123456a  
Originating register: Commercial Register

## BENEFICIAL OWNERS

### Indirect beneficial owners

**A** Name: Person 1<sup>(bPK)</sup>  
Type: Control - indirect ownership of the legal entity  
  
Scope: 33.33%  
Trusteeship: No  
  
Ultimate legal entity:  
 **B** Name: Holding LLC<sup>(FB)</sup>  
Type: Amount of shares, voting rights or participation

2

Scope: 100%

Ultimate legal entity:

**C** Name: Example Foundation<sup>(FB)</sup>  
 Type: Control  
 Scope: -

2

2

2

Beneficial owners taken over from the report of the ultimate legal entity:

**D** Name: Person 2<sup>(bPK)</sup>  
 Type: Founder

**E** Name: Person 3<sup>(bPK)</sup>  
 Type: Member of the foundation board

**F** Name: Person 4<sup>(bPK)</sup>  
 Type: Member of the foundation board

**G** Name: Person 5<sup>(bPK)</sup>  
 Type: Member of the foundation board

**D** Name: Person 2<sup>(bPK)</sup>  
 Type: Beneficiary

Class of beneficiaries: Yes  
 Standard case: Family members

Ultimate legal entity:

**H** Name: Sample Foundation<sup>(FB)</sup>  
 Type: Control  
 Scope: -

2

2

2

Beneficial owners taken over from the report of the ultimate legal entity:

**I** Name: Person 6<sup>(bPK)</sup>  
 Type: Founder

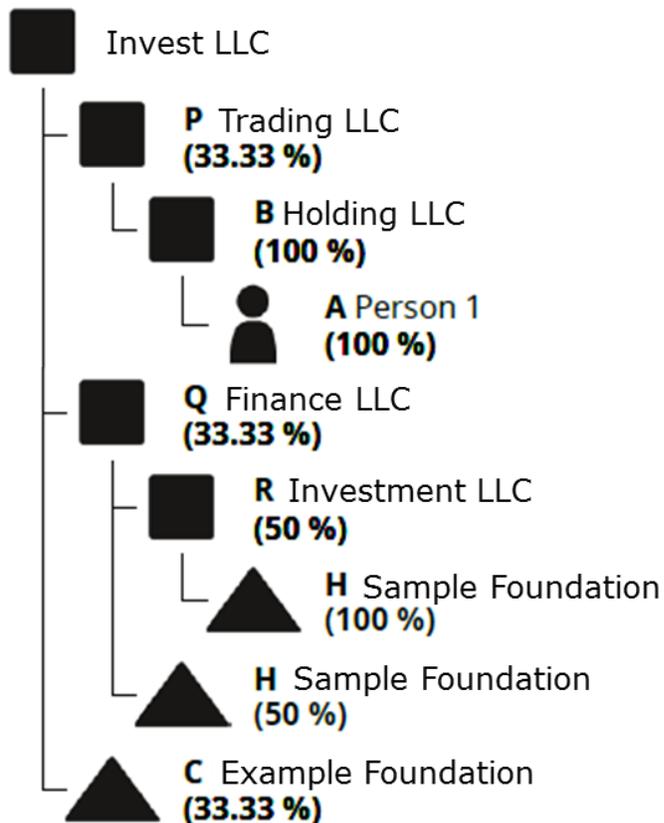
**J** Name: Person 7<sup>(bPK)</sup>  
 Type: Member of the foundation board

**K** Name: Person 8<sup>(bPK)</sup>

	Type:	Member of the foundation board
<b>L</b>	Name:	Person 9 <sup>(bPK)</sup>
	Type:	Member of the foundation board
<b>M</b>	Name:	Person 10 <sup>(bPK)</sup>
	Type:	Beneficiary
<b>N</b>	Name:	Person 11 <sup>(bPK)</sup>
	Type:	Beneficiary
<b>O</b>	Name:	Person 12 <sup>(bPK)</sup>
	Type:	Beneficiary
Class of beneficiaries:		No

Source: The data was reported pursuant to Article 5 BORA.

**GRAPHICAL ILLUSTRATION OF THE RELEVANT PARTICIPATION STRUCTURE**



Graphical illustration of the relevant participation structure pursuant to Article 9 para. 5 no. 1 BORA.

## PRE-CALCULATED BENEFICIAL OWNERS

### Indirect beneficial owners

**A** Name: Person 1<sup>(bPK)</sup>  
Type: Control - indirect ownership of the legal entity  
Scope: 33.33%

#### Ultimate legal entity:

**B** Name: Holding LLC<sup>(FB)</sup>  
Type: Amount of shares, voting rights or participation  
Scope: 100%

### Ultimate legal entity

**C** Name: Example Foundation<sup>(FB)</sup>  
Type: Control  
Scope: -

**H** Name: Sample Foundation<sup>(FB)</sup>  
Type: Control  
Scope: -

Pre-calculated beneficial owners and ultimate legal entities pursuant to Article 9 para. 5 no. 2 BORA

Not all data are available to pre-calculate the beneficial owners. Reasons for this are that the legal entity or the ultimate legal entity is a foundation, trust or trust-like agreement (Article 2 para. 2 no. 15 to no. 18 BORA), that the ultimate legal entity is registered abroad, or that the beneficial owner could not be pre-calculated based on the reference data.

## AUTHORISED PERSONS

**I** Name: Person 6<sup>(bPK)</sup>

Source: Commercial Register

## PERSONS

- A** Name: Person 1<sup>(bPK)</sup>  
Date of birth: 18.09.1970  
Place of birth: Kiev  
Nationality: Ukraine  
Address: Example Street 51, 1000 Vienna, Austria
- B** Name: Holding LLC<sup>(FB)</sup>  
Legal form: Company with limited liability  
ÖNACE: K64.20-0 Investment companies  
  
Business Address: Mainsquare 1, 1010 Vienna, Austria  
Existing period: since 25.01.2010  
  
Originating number: 543210d  
Originating register: Commercial Register
- C** Name: Example Foundation<sup>(FB)</sup>  
Legal form: Private foundation  
ÖNACE: K64.30-9 Trust, other funds and similar financial institutions  
  
Business Address: Towerstreet 16-88, 9999 Example City, Austria  
Existing period: since 26.10.1998  
  
Originating number: 112233i  
Originating register: Commercial Register
- D** Name: Person 2<sup>(bPK)</sup>  
Date of birth: 15.08.1964  
Place of birth: Vienna  
Nationality: Austria  
Country of residence: Austria
- E** Name: Person 3<sup>(bPK)</sup>  
Date of birth: 11.01.1968  
Place of birth: Rome  
Nationality: Austria

Address: Example Street 1, 9999 Example City,  
Switzerland

**F** Name: Person 4<sup>(bPK)</sup>  
Date of birth: 25.12.1966  
Place of birth: Vienna  
Nationality: Austria  
Address: Beautiful Street 1, 1010 Vienna, Austria

**G** Name: Person 5<sup>(bPK)</sup>  
Date of birth: 01.02.1955  
Place of birth: Graz  
Nationality: Austria  
Address: Example Street 1, 9999 Example City,  
Austria

**H** Name: Sample Foundation<sup>(FB)</sup>  
Legal form: Private foundation  
ÖNACE: K64.30-9 Trust, other funds and similar  
financial institutions

Business Address: Anystreet 1, 9999 Example City, Austria  
Existing period: since 09.10.1999

Originating number: 223344w  
Originating register: Commercial Register

**I** Name: Person 6<sup>(bPK)</sup>  
Date of birth: 05.08.1970  
Place of birth: Linz  
Nationality: Austria  
Address: Lakestreet 13, 9999 Example City, Austria

**J** Name: Person 7<sup>(bPK)</sup>  
Date of birth: 31.05.1964  
Place of birth: Salzburg  
Nationality: Austria  
Address: Backstreet 114, 9999 Example City, Austria

**K** Name: Person 8<sup>(bPK)</sup>  
Date of birth: 22.05.1951  
Place of birth: Innsbruck

Nationality: Austria  
Address: Examplestreet 19, 9999 Example City,  
Austria

**L** Name: Person 9<sup>(bPK)</sup>  
Date of birth: 03.06.1971  
Place of birth: Zwettl  
Nationality: Austria  
Address: Upper Lane 404, 9999 Example City, Austria

**M** Name: Person 10<sup>(bPK)</sup>  
Date of birth: 15.05.2008  
Place of birth: Klagenfurt am Wörthersee  
Nationality: Austria  
Country of residence: Austria

**N** Name: Person 11<sup>(bPK)</sup>  
Date of birth: 04.02.2007  
Place of birth: Vienna  
Nationality: Austria  
Country of residence: Germany

**O** Name: Person 12<sup>(bPK)</sup>  
Date of birth: 11.11.1988  
Place of birth: Vienna  
Nationality: Austria  
Country of residence: Austria

**P** Name: Trading LLC<sup>(FB)</sup>  
Legal form: Company with limited liability  
ÖNACE: K64.20-0 Investment companies

Business Address: Mainsquare 1/1/11, 1111 Vienna, Austria  
Existing period: since 11.01.2012

Originating number: 110011p  
Originating register: Commercial Register

**Q** Name: Finance LLC<sup>(FB)</sup>  
Legal form: Company with limited liability  
ÖNACE: F41.10-0 Development of property, building contractor

Business Address: Panoramastreet 10; 9900 Examplevillage, Austria

Existing period: since 01.03.1999

Originating number: 991199x

Originating register: Commercial Register

**R** Name: Investment LLC<sup>(FB)</sup>  
Legal form: Company with limited liability  
ÖNACE: K64.20-0 Investment companies

Business Address: Lakestreet 13, 9900 Examplevillage, Austria

Existing period: since 02.02.2002

Originating number: 887788t

Originating register: Commercial Register

## OVERVIEW OF EXECUTIONS

1 recorded on 15.01.2018: Automatic importation of data from the URV<sup>(FB)</sup>

2 recorded on 30.04.2018: Report pursuant to Article 5 BORA<sup>(FB, ZMR)</sup>

In the case of reported or automated imported beneficial owners that are marked with <sup>(bpk)</sup>, the place of birth, nationality and address will be compared and kept up to date with the Central Residence Register.

In the case of legal persons registered in Austria information about the legal form, business address and existing period will be compared and kept up to date with the respective register: Commercial Register<sup>(FB)</sup>, Register of Associations<sup>(VR)</sup> or Supplementary Register for Others<sup>(ERSB)</sup>.

Notifications or remarks may be made by the legal entity<sup>(RT)</sup>, an authority<sup>(BEH)</sup>, an obliged entity<sup>(VPFL)</sup> or by the Registry Authority<sup>(RBEH)</sup>.

Pursuant to Article 9 para. 10 BORA it is pointed out that there is no guarantee for the correctness or completeness of the data.

